

Express Mail Label No. EV335645194US

Date of Deposit: 04.Dec.2003

**APPLICATION FOR LETTERS PATENT
OF THE UNITED STATES**

NAME OF INVENTOR(S):

Nikolaos Paragios
14 Major Lane
Plainsboro, NJ 08536
Citizen: Greece

TITLE OF INVENTION:

User Interactive Level Set Methods for Image Segmentation

TO WHOM IT MAY CONCERN, THE FOLLOWING IS
A SPECIFICATION OF THE AFORESAID INVENTION

Patent Application

USER INTERACTIVE LEVEL SET METHODS FOR IMAGE SEGMENTATION

This application claims the benefit of U.S. Provisional
5 Application No. 60/431,367, filed December 6, 2002, and U.S.
Provisional Application No. 60/520,450, filed November 14,
2003 entitled "User-Aided Boundary Delineation Through the
Propagation of Implicit Representation", which are
incorporated by reference herein in their entirety.

10

BACKGROUND OF THE INVENTION

1. Field of the Invention:

The present invention relates to image segmentation,
and more particularly to a system and method for image
15 segmentation implementing an interactive level set.

2. Discussion of Related Art:

Image segmentation approaches can be classified as
boundary or region-based. Boundary-driven techniques rely
on the generation of a strength image and the extraction of
20 prominent edges, while region-based methods rely on the
homogeneity of spatially localized features and properties.
Snake-driven techniques are typically the most appropriate
tool to derive boundary-based methods. A curve propagation
technique is a common way to implement such terms.

To this end, a parameter space that defines a curve in the image plane is considered. Object extraction is equivalent with finding the lowest potential of an objective function. Such a function involves internal and external
5 terms. The internal term enforces some desired geometric characteristics of the curve, while the external term moves the curve to the desired image features. Level set methods address such an objective in various application domains.

Level set formulations consider the problem in a higher
10 dimension and represent the evolving curve as the zero-level set of an embedding function. The evolution of this function can then be derived in a straightforward manner from the original flow that guides the propagation of the curve. Such methods are implicit, intrinsic and topology
15 free leading to a natural handling of important shape deformations.

An important limitation of level set formulations is sensitivity to noise and failing to capture/encode prior knowledge shape-driven on the structure to be recovered. A
20 geometric flow that evolves the solution closer to the prior can introduce prior shape knowledge within the segmentation process. A more elegant formulation was derived in which such constraints were introduced in the form of energy components that constrain the solution space.

User-interaction is an important component in medical segmentation where boundary-tracing tools are implemented. User interaction can be considered as a different form of prior knowledge to be added in the segmentation process.

5 Recent advances in medical imaging have increased the accuracy of automated techniques. However, clinical users typically need to correct their outcome. Although, level set methods are an established segmentation technique in medical imaging they do not support user interaction.

10

SUMMARY OF THE INVENTION

According to an embodiment of the present invention, a method for boundary based image segmentation comprises segmenting an image, providing a level set representation of
15 the segmentation for interaction, and providing an edit point of the level set representation. The method further comprises converting the edit point into a propagation constraint, and determining a segment according to the edit point and the level set representation.

20 The edit point is one of a control point and a sequence of connected points.

Converting further comprises deriving a shape constraint within a level set framework wherein an

interpolation converts the edit point into a closed structure.

The method comprises enforcing a smoothness constraint on the level set representation to correct a local
5 discrepancy given the control point. The method comprises replacing a segment of the level set representation with an interaction segment according to the control point. The segment of the level set representation is determined to lie within a predetermined distance from the control point.
10 The method comprises introducing the interactive segment, wherein the interactive segment is determined by a quadratic interpolation between the control point and the segment of the level set representation.

The sequence of connected points provides a number of
15 control points in a clock-wise order that when connected define a closed curve. The method comprises recovering a global constraint that forces the level set representation to go through the number of control points.

The method comprises evolving the level set
20 representation according to the propagation constraint. The method further comprises minimizing a distance between the evolving level set representation and the propagation constraint.

The propagation constraint is enforced more stringently closer to the control point.

The method comprises evolving the level set representation locally towards the propagation constraint.

5 According to an embodiment of the present invention, a program storage device is provided readable by machine, tangibly embodying a program of instructions executable by the machine to perform method steps for boundary based image segmentation. The method steps comprising segmenting an
10 image, providing a level set representation of the segmentation for interaction, and providing an edit point of the level set representation. The method further comprises converting the edit point into a propagation constraint, and determining a segment according to the edit point and the
15 level set representation.

 According to an embodiment of the present invention, a method for image segmentation comprises providing a level set representation of an image segmentation for interaction, requesting user-interaction for editing the level set
20 representation, determining a propagation constraint according to the user-interaction, and determining an evolved level set representation, wherein a portion of the evolved level set representation is locally affected by the propagation constraint.

The user-interaction is one of a control point and a sequence of connected points. The method further comprising enforcing a smoothness constraint on the level set representation to correct a local discrepancy given the user-interaction.

The portion of the evolved level set representation replaces a corresponding portion of the level set representation. The portion segment of the level set representation is determined to lie within a predetermined distance from the user-interaction.

BRIEF DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the present invention will be described below in more detail, with reference to the accompanying drawings:

Figure 1 is a diagram of a system according to an embodiment of the present invention;

Figure 2 is an illustration of level set representations and evolving surfaces according to an embodiment of the present invention;

Figures 3a-3b are segmentation images according to an embodiment of the present invention;

Figure 4 is a graph of a quadratic interpolation according to an embodiment of the present invention; and

Figure 5 is a flow chart of a method according to an embodiment of the present invention.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

5 According to an embodiment of the present invention, user-defined segmentation constraints can be implemented within level set methods. Snake-driven methods are powerful and widely explored techniques for object extraction. A level set representation is a mathematical framework
10 technique to implement snake-driven methods. This formulation is implicit, intrinsic and parameter/topology free. User interactive constraints are a form of prior shape knowledge. To this end, a formulation is implemented that converts user interaction to objective function terms
15 that guide and improve the segmentation through the user edits.

 It is to be understood that the present invention may be implemented in various forms of hardware, software, firmware, special purpose processors, or a combination
20 thereof. In one embodiment, the present invention may be implemented in software as an application program tangibly embodied on a program storage device. The application program may be uploaded to, and executed by, a machine comprising any suitable architecture.

Referring to Fig. 1, according to an embodiment of the present invention, a computer system 101 for implementing the present invention can comprise, *inter alia*, a central processing unit (CPU) 102, a memory 103, and an input/output (I/O) interface 104. The computer system 101 is generally coupled through the I/O interface 104 to a display 105 and various input devices 106 such as a mouse and keyboard. The support circuits can include circuits such as cache, power supplies, clock circuits, and a communications bus. The memory 103 can include random access memory (RAM), read only memory (ROM), disk drive, tape drive, etc., or a combination thereof. The present invention can be implemented as a routine 107 that is stored in memory 103 and executed by the CPU 102 to process the signal from the signal source 108. As such, the computer system 101 is a general-purpose computer system that becomes a specific purpose computer system when executing the routine 107 of the present invention.

The computer platform 101 also includes an operating system and microinstruction code. The various processes and functions described herein may either be part of the microinstruction code or part of the application program (or a combination thereof), which is executed via the operating system. In addition, various other peripheral devices may

be connected to the computer platform such as an additional data storage device and a printing device.

It is to be further understood that, because some of the constituent system components and method steps depicted
5 in the accompanying figures may be implemented in software, the actual connections between the system components (or the process steps) may differ depending upon the manner in which the present invention is programmed. Given the teachings of the present invention provided herein, one of ordinary skill
10 in the related art will be able to contemplate these and similar implementations or configurations of the present invention.

According to an embodiment of the present invention, a term encodes user-interaction within level set methods.
15 This term is introduced in the form of an evolving shape prior and transforms user-edits into level set-based propagation constraints.

For level set representations (see Figure 2), the level set method comprises representing and evolving an evolving
20 curve $\partial R(p)$ with the zero-level set of an embedding surface $\Phi: \Omega \rightarrow R$:

$$\Phi(p; t) = \begin{cases} 0 & , p \in \partial R(t) \\ + D((p), \partial R(t)) > 0 & , p \in R(t) \\ - D((p), \partial R(t)) < 0 & , p \in [\Omega - R(t)] \end{cases}$$

where Ω is the image domain (bounded) and $D(p, \partial R(t))$ is the minimum Euclidean distance between the pixel p and the curve $\partial R(t)$. The level set formulation can be considered as
 5 an optimization framework. To this end, the approximations of Dirac and Heaviside distributions can be defined as:

$$\delta_a(\phi) = \begin{cases} 0 & , |\phi| > \alpha \\ \frac{1}{2\alpha} (1 + \cos(\frac{\pi\phi}{\alpha})) & , |\phi| < \alpha \end{cases}$$

$$H_a(\phi) = \begin{cases} 1 & , \phi > \alpha \\ 0 & , \phi < -\alpha \\ \frac{1}{2} (1 + \frac{\phi}{\alpha} + \frac{1}{\pi} \sin(\frac{\pi\phi}{\alpha})) & , |\phi| < \alpha \end{cases}$$

These functions could be used to define contour-based and
 10 region-based energetic terms in the level set space:

$$(i) \underbrace{\iint_{\Omega} H_a(\Phi(p)) r(I(p)) dx dy}_{\text{regional module}}, (ii) \underbrace{\iint_{\Omega} \delta_a(\Phi(p)) b(I(p)) |\nabla \Phi(p)| dx dy}_{\text{boundary module}},$$

where r and g are region and boundary positive monotonically decreasing data-driven functions. The first term [i] is a grouping component that accounts for some regional
 15 properties (modulo the definition of r) of the area defined by the evolving curve. The second term [ii] is a

combination of a boundary attraction term (modulo the definition of b) and a smoothness component.

For user-interactive constraints, segmentation techniques need local corrections when the visual

5 information does not support the user-preferred solution.

User interaction can address this issue. One can consider the case of ultrasonic images. The low signal-to-noise ratio can lead to segmentation discrepancies. Correcting these results can take less time than the complete hand

10 drawing of the cardiac contours. The user interaction can be in the form of points indicated on a level set

representation to define important elements or mis-detected structures. Figure 3a shows an example of a segmentation of a heart 301 and user input points 302. Figure 3b shows an

15 example of the segmentation 301 using the user input points as constraints. One of ordinary skill in the art would appreciate that other methods of interaction can be implemented, such as a touch screen showing a segmented image.

20 Level set methods perform propagation at the pixel level and therefore can account for important local deformations, but can be sensitive to noise. User interactive editing tools can be considered either as local or global constraints. The constraints are implemented in

an editing procedure to perform correction on the recovered solution. To this end, the user is asked to introduce a constraint on important or miss-classified parts of the segmentation map.

5 Two forms of interaction are considered here for purposes of explanation. A first interaction uses a one or more individual control points for correcting local discrepancies, while a second interaction uses a sequence of connected points. To derive shape constraints within a
10 level set framework, a linear or quadratic interpolation is used to convert the user edits into closed structures (e.g., shapes).

For the construction of a constraint, a quadratic interpolation (see Figure 4) determines the value of a
15 function at an unknown intermediate point given three data points. That is equivalent with fitting a parabola to the three data points

$$y = Ax^2 + Bx + C$$

where A , B , and C are unknowns to be recovered. The data
20 points, (x_{i-1}, y_{i-1}) , (x_i, y_i) , and (x_{i+1}, y_{i+1}) must all lie on the curve and are used to determine A , B , and C . The determinants can be used to recover these parameters:

$$A = \frac{\Delta_x}{\Delta}, B = \frac{\Delta_b}{\Delta}, C = \frac{\Delta_c}{\Delta}$$

where

$$\Delta = \begin{vmatrix} x_{i-1}^2 & x_{i-1} & 1 \\ x_i^2 & x_i & 1 \\ x_{i+1}^2 & x_{i+1} & 1 \end{vmatrix}, \Delta_a = \begin{vmatrix} y_{i-1} & x_{i-1} & 1 \\ y_i & x_i & 1 \\ y_{i+1} & x_{i+1} & 1 \end{vmatrix}, \Delta_b = \begin{vmatrix} x_{i-1}^2 & y_{i-1} & 1 \\ x_i^2 & y_i & 1 \\ x_{i+1}^2 & y_{i+1} & 1 \end{vmatrix}, \Delta_c = \begin{vmatrix} x_{i-1}^2 & x_{i-1} & y_{i-1} \\ x_i^2 & x_i & y_i \\ x_{i+1}^2 & x_{i+1} & y_{i+1} \end{vmatrix}$$

Constraints can be; (i) independent control points and
 5 (ii) multiple connected control points provided in a clock-wise order.

For user interaction using a single control point, segmentation maps can fail to capture important details due to the absence of strong visual support. This limitation
 10 can be addressed by enforcing the solution to go through such a problematic segment. According to an embodiment of the present invention, (\hat{p}) is provided by the user and smoothness constraints on the solution are used to correct such local discrepancies.

15 The solution can be improved locally by replacing a small segment of the actual solution with the interactive part. Therefore, given a point (\hat{p}) the curve points that lie within a distance d are considered. The use of distance maps as embedding function for the level set
 20 representations, provide a straightforward manner to determine these points

$$N_{\hat{p}} = \{p_i \in \Omega : |\Phi(p) - d| < \delta\}$$

where $\delta \leftarrow 0$. For convex shapes and control points that lie on the object, it can be proved that $N_{\hat{p}}$ comprises two points for a reasonable small selection for d . Such
5 assumption does not hold for any shape. Therefore, more than two points can satisfy the constraint. To introduce the interactive segment, only two points of the curve will be considered. The points with maximum angular separation at (\hat{p}) are selected:

$$10 \quad (p_l, p_r) : \arg \max_{\substack{\{i,j\} \\ (p_i, p_j) \in N_{\hat{p}} \times N_{\hat{p}}}} |p_i - p_j|$$

A quadratic interpolation is performed between

(p_l, p_r, \hat{p}) and the interactive segment is determined. Within the level set representations, the current position of the curve is recovered from the zero-level set of the embedding
15 function. The curve points are four-connected (zero-crossings on the image plane), and using a connected component rule, recovered in a clock-wise order;

$$\partial R = (p_0, \dots, p_r, \dots, p_l, \dots, p_N)$$

where p_0 is an arbitrary selected point. Towards

20 introducing the interactive segment, the segment between p_l

and p_i is replaced with the one determined by the quadratic interpolation between the control point \hat{p} and p_i and p_i ;

Such a shape can be embedded in a level set function
 5 using the Euclidean distance as embedding function;

$$\Phi_c(p) = \begin{cases} 0 & , p \in \partial UI \\ +D(p, \partial UI) > 0 & , p \in R_{ui} \\ -D(p, \partial UI) < 0 & , p \in [\Omega - R_{ui}] \end{cases}$$

Such a representation encodes the user edits in a global fashion using the existing solution in areas where user interaction is absent where important deviations from
 10 the constraint should be tolerated. The distance between the control point and the image plane is an indicator for the importance of the constraint.

$$\sigma_c(p) = 1 + |p - \hat{p}|, p \in \Omega$$

Such a measure will be small for the area around the
 15 interactive segment while being significant for the segments that are far from the user edits. The same principle can be used to account for multiple, independent user edits.

For user interaction using multiple control points, a data-driven solution may not recover a meaningful
 20 segmentation map and to correct errors a large amount of local interaction is needed. Global constraints are a

different form of user-interaction that can guide the segmentation process from the beginning. An exemplary scenario is the following: the user provides a minimum number of control points in a clock-wise order $(\hat{p}_1, \dots, \hat{p}_N)$

5 that when connected define a closed curve.

The objective is to recover a global constraint that forces the recovered solution to go through the control points. The original function can be approximated in a piecewise fashion. For any y over the entire domain of x , a
10 segment is selected to perform the interpolation. Since the shape functions are only defined on each element we can approximate y by:

$$y(x) = \alpha_{i-1}(x)y_{i-1} + \beta_i(x)y_i + \gamma_i(x)y_{i+1}$$

where $\hat{p}_i = (x_i, y_i)$ and

$$15 \quad \alpha_{i-1}(x) = \frac{(x_{i+1} - x_i)(x_{i+1} - x)(x - x_i)}{\Delta}$$

$$\beta_i(x) = \frac{-(x_{i+1} - x_{i-1})(x_i - x)(x - x_{i-1})}{\Delta}$$

$$\gamma_{i+1}(x) = \frac{(x_i - x_{i-1})(x_i - x)(x - x_{i-1})}{\Delta}$$

This procedure can convert user interaction into a closed structure (e.g., shape) $R_{u'}$. The level set

representation Φ_c of this structure can be considered to enforce the user input. The importance of the constraint varies across the image domain and at a given image location is inversely proportional to the minimum distance from the set of control points:

$$\sigma_c(p) = 1 + \arg \min_i |p - \hat{p}_i|, p \in \Omega, i \in [1, N]$$

The user-edits are taken into account when the evolving level set representation becomes similar to the one derived from the constraint. To this end, the distance between the constraint and the evolving representation Φ is considered:

$$E(\Phi) = \iint_{\Omega} H_{\alpha}(\Phi(x, y)) (\Phi(x, y) - \Phi_c(x, y))^2 d\Omega$$

that is equivalent with seeking a curve that goes through the user-defined seed points. This is done by minimizing the distance between the evolving curve and the interactive constraint.

During the model construction, the importance of the user-interactive is determined according to the distance from the control points. The constraint is enforced more stringently closer to the user seeds while considering the data to guide the segmentation process when there is no input from the user. The distance between the constructed

prior and the control points of the constraint can be used to implement such strategy;

$$E_{\text{interaction}}(\Phi) = \iint_{\Omega} H_{\alpha}(\Phi(x, y)) \frac{(\Phi(x, y) - \Phi_c(x, y))^2}{\sigma_c^2(x, y)} d\Omega$$

The user interaction is optimally considered when finding the Φ that corresponds to the lowest potential of the objective function. The calculus of variations within a gradient descent method can be used to determine the optimal flow that forces the evolving curve to respect the user-defined constraints;

$$\frac{d}{dt}\Phi = \underbrace{-2H_{\alpha}(\Phi) \frac{\Phi - \Phi_c}{\sigma_c^2}}_{\text{user-interaction force}} - \underbrace{\delta_{\alpha}(\Phi) \frac{(\Phi - \Phi_c)^2}{\sigma_c^2}}_{\text{deflation force}}$$

This flow comprises two terms. The first term evolves the curve locally towards the preferred topology as defined by the user. The second term is a constant deflation force that tends to shrink the curve and consequently minimize the

objective function. Therefore, the second term - the deflation component - can be ignored, and only the first term is used to account for the user interaction. The flow enforces the preferred topology in a qualitative fashion.

The variability (σ_c) of the interaction constraint is used

to downscale the effect of the term in image locations where

the user input is not strong. Propagation/segmentation in these areas will be data-driven. An image-based term for segmentation is defined. Several variational frameworks have been proposed for image segmentation.

5 For user-interactive geodesic active regions, the geodesic active contour can be used for example to perform boundary extraction.

$$E_{\text{boundary}}(\Phi) = \iint_{\Omega} \delta_{\alpha}(\Phi) b(|\nabla I|) |\nabla \Phi| d\Omega$$

where $b: R^+ \rightarrow [0,1]$ is a monotonically decreasing function.

10 The lowest potential of this functional corresponds to a minimal length geodesic curve attracted by the boundaries of the structure of interest. Regional/global information can improve performance of boundary-based flows that suffer of being sensitive to the initial conditions. The central idea
15 behind this module is to use the evolving curve to define an image partition that is optimal with respect to some grouping criterion. The Mumford-Shah framework has been used frequently within level set formulations as global region-based grouping term using piece-wise constant
20 functions;

$$E_{\text{region}}(\Phi) = \iint_{\Omega} H_{\alpha}(\Phi) (I - \mu_o)^2 + (1 - H_{\alpha}(\Phi)) (I - \mu_b)^2 d\Omega$$

where μ_b, μ_o is the mean intensity for the background and the object region. The distance from the mean value is considered as a region descriptor. The mean values are dynamically updated according to the evolving segmentation
5 map.

Integration of the boundary and the region-driven term can be considered to perform segmentation, namely the geodesic active region model. In the absence of noise, occlusions, and corrupted visual information, such method
10 can be efficient and deal with local deformations. One can also integrate the visual terms with the user-interactive constraint when available as follows;

$$E(\Phi) = w_1 E_{\text{boundary}}(\Phi) + w_2 E_{\text{region}}(\Phi) + w_3 E_{\text{interaction}}(\Phi)$$

The calculus of variations as shown earlier for each
15 component separately, will provide a curve propagation flow that integrates visual support and user interaction.

Modification of the user preferences can update the constraint on the fly. A solution derived from the visual terms is recovered and then the user-introduce seeds
20 (points) for corrections. Such interaction is then converted to propagation force and refines the segmentation map towards the user-preferred solution with minimal edits. It is important to note that user interaction is introduced

in the form of soft-to-hard constraint. The result is a segment having an equilibrium between the user edits and the solution provided by the data.

Referring to Figure 5, a method for boundary based
5 image segmentation comprises segmenting an image 501,
providing a level set representation of the segmentation for
interaction 502, and providing an interactive edit of the
level set representation 503. The method comprises
converting the interactive edit into a propagation
10 constraint 504, and determining a segment according to the
interactive edit and the level set representation 505.

According to an embodiment of the present invention, a
framework for user-interaction within the propagation of
curves uses level set representations. Segmentation
15 techniques based on the propagation of curves are very
popular in image processing and computer vision. Level set
methods are an emerging formulation to implement these
techniques with certain strengths as well as some
limitations.

20 Important local deformations as well as topological
changes can be captured by these techniques. At the same
time, they refer to an implicit geometry where local
properties of the evolving curve can be easily determined.
Although, some of the limitations of these methods - like

their inability to account for prior knowledge - have been dealt with, no know system or method addresses user interaction. According to an embodiment of the present invention, interactive editing is converted into propagation
5 constraints that force the solution to respect the user edits. The construction of such constraints is simple and does not require additional computational resources.

Experimental results demonstrate the potentials of a method for interactive segmentation. The user edits can
10 correspond to the crosses that appear in the image. To this end, a medical example has been considered, the segmentation of the left ventricle in polar for ultrasonic images. This modality suffers from high signal-to-noise ratio and visual support is not sufficient to provide accurate segmentation
15 results. Global interactive constraints have been used to improve segmentation performance of the polar domain.

Having described embodiments for an interactive level set driven image segmentation, it is noted that modifications and variations can be made by persons skilled
20 in the art in light of the above teachings. It is therefore to be understood that changes may be made in the particular embodiments of the invention disclosed which are within the scope and spirit of the invention as defined by the appended claims. Having thus described the invention with the

details and particularity required by the patent laws, what is claimed and desired protected by Letters Patent is set forth in the appended claims.